

**DELEGATIONS OF SIGNATURE AUTHORITY FOR THE  
HEALTH SCIENCES CENTER  
NEW EXHIBIT B2 TO UAP 2010  
(Authority Delegated by the Chancellor for Health Sciences)  
Effective Date: November 28, 2016  
Amended: July 19, 2017; January 4, 2018, December 12, 2023**

| <b>NEW NO.</b> | <b>TYPE OF CONTRACT</b>                  | <b>AUTHORITY DELEGATED</b>   | <b>OLD NO.</b> | <b>POSITION WITH DELEGATED AUTHORITY</b>   | <b>LIMITATIONS</b>  |
|----------------|--|--|----------------|--|---|
| <b>1</b>       | CLINICAL BILLING                         | HSC clinical facilities reassignment agreements with part-time employee, contract, and volunteer providers of professional services to patients, for billing and collection of professional fees | 104HSC         | Vice Chancellor for Clinical Affairs   | Use of HSC for purposes of this delegation does not include SRMC or UNMMG   |
| <b>2</b>       | CLINICAL SERVICES (MULTIPLE DEPARTMENTS) | HSC clinical managed care contracts, clinical global and master contracts involving multiple departments   | 133HSC         | Vice Chancellor for Clinical Affairs   | Approval by Director of Clinical Contract Services required; OUC review and approval as to form required; use of HSC for purposes of this delegation does not include SRMC or UNMMG |
| <b>3</b>       | CLINICAL SERVICES (LOCUM TENENS)         | HSC locum tenens and specialty extension services contracts for which there is no expenditure of funds   | 147HSC         | Director of Finance, SOM;<br>Chief Financial Services Officer, HSC                                     |   |
| <b>4</b>       | COLLECTIVE BARGAINING                    | Collective Bargaining Agreements (Local 2166 and 1199)   | 048HSC         | Chief Executive Officer, UNM Hospitals   |   |
| <b>5</b>       | CONFIDENTIALITY (STRATEGIC INITIATIVES)  | HSC confidentiality and non-disclosure agreements relative to strategic initiatives  | NEW (11-28-16) | Chief Executive Officer, UNM Hospitals (for clinical facilities); Vice Chancellor for Clinical Affairs | May not involve the receipt or expenditure of funds or otherwise incur direct financial obligations; OUC attorney review and approval as to form required                           |

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|----------------|---|--|----------------|---|---|
| <b>6</b>       | CONFIDENTIALITY (NON-CLINICAL SERVICES) | HSC contracts relating primarily to confidentiality, non-disclosure, material transfer, data use, and HIPAA-related issues   | 176HSC         | Vice Chancellor for Research, HSC; Associate Director, Sponsored Projects, Pre-Award Services HSC | Approval by CON Dean (CON), COP Dean (COP), or CPH Dean (CPH) as appropriate; OUC review and approval as to form if appropriate as determined by signature authority holder; authorized position may not sign contracts for which there may be a conflict of interest |
| <b>7</b>       | CONFIDENTIALITY (CLINICAL SERVICES)     | HSC contracts relating primarily to confidentiality and HIPAA-related issues   | NEW (11-28-16) | Vice Chancellor for Clinical Affairs  | Only contracts that are not related to research and data use and/or sharing   |
| <b>8</b>       | CREDENTIALING                           | Agreements regarding credentialing and privileging by proxy as to which providers on the Medical Staff at UNM Hospitals will be providing telehealth and/or telemedicine services at third-party hospitals | 180HSC         | Chair, UNM Hospitals Credentials Committee; Executive Director, Medical Staff Affairs             |   |
| <b>9</b>       | DATA USE AND SHARING                    | Data use and/or sharing agreements   | NEW (11-28-16) | Vice Chancellor for Research, HSC   | Review by Chief Information Officer, HSC  |
| <b>10</b>      | EMPLOYMENT (UNM HOSPITALS)              | Letters of offer for University Hospitals Staff  | 014HSC         | Administrator, UNM Hospitals Human Resources  |   |
| <b>11</b>      | EMPLOYMENT (UNM HOSPITALS SENIOR STAFF) | Employment contracts for senior UNM Hospitals administrative staff who report directly to the CEO, UNM Hospitals   | 102HSC         | Chief Executive Officer, UNM Hospitals  |   |
| <b>11A</b>     | EMPLOYMENT (CONTRACT STAFF)             | Employment contracts for contract staff  | NEW (1-4-18)   | Senior Executive Officer for Finance & Administration, HSC  |   |

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| <b>12</b>      | FACILITY (UNM HOSPITALS)                   | Contracts involving UNM Hospitals and their associated outpatient clinics operated by UNM Hospitals  | 061HSC         | Chief Financial Officer, UNM Hospitals; Chief Executive Officer, UNM Hospitals  | Only contracts that do not involve the exchange of funds or commit SOM resources  |
| <b>13</b>      | FACILITY (SHORT-TERM)                      | Licenses for short-term use of HSC space by outside parties for special programs   | 175HSC         | Senior Executive Officer for Finance & Administration, HSC; Chief Executive Officer, UNM Hospitals (clinical facilities only)   |   |
| <b>14</b>      | FUNDRAISING                                | Fundraising contracts not involving expenditure of funds   | 107HSC         | Senior Executive Officer for Finance & Administration, HSC; Chief Executive Officer, UNM Hospitals (for UNM Hospitals facilities only)  |   |
| <b>15</b>      | GENERAL (SINGLE DEPARTMENT)                | HSC clinical and non-clinical services, consulting (including research), clinical training and collaboration/affiliation agreements involving individual providers or departments, excluding purchase agreements | 168HSC         | Senior Executive Officer for Finance & Administration; Director of Finance, SOM (SOM contracts only); Vice Chancellor for Research, HSC (research-related or HSC Financial Services Department-based contracts only); Chief Executive Officer, UNM Hospitals (UNM Hospitals contracts only); Chief Budget and Finance Officer, HSC; Vice Chancellor for Clinical Affairs; (UNM Health System-wide contracts only) | Approval by Director, Clinical Contract Services required for clinical services; approval of appropriate Department Chair required for SOM contracts; OUC review and approval as to form required |
| <b>16</b>      | HOUSE OFFICERS (TRAINING AND COMPENSATION) | HSC House Officer educational/training contracts and House Officer special compensation agreements   | 105HSC         | Executive Vice Dean, SOM; Associate Dean, Graduate Medical Education, SOM; Chief Executive Officer, UNM Hospitals (incoming House Officer rotations only)   | Approval by Associate Dean for Graduate Medical Education required for non-UNM House Officers rotating in to UNM clinical facilities  |

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| 17             | HOUSE OFFICERS (EMPLOYMENT)                         | HSC House Officer employment contracts (includes residents, interns, fellows)                           | 114HSC         | Executive Vice Dean, SOM; Associate Dean, Graduate Medical Education, SOM  | Approval of appropriate Department Chair required  |
| 18             | INTELLECTUAL PROPERTY (HARDWARE & SOFTWARE VENDORS) | License and similar intellectual property agreements required by hardware and software vendors          | 148HSC         | Chief Executive Officer, UNM Hospitals (for clinical facilities); Chief Information Officer, HSC   | May not involve the receipt or expenditure of funds or otherwise incur direct financial obligations; OUC review and approval as to form required |
| 19             | INTELLECTUAL PROPERTY (LICENSING)                   | Agreements licensing third parties to use, for research purposes only, intellectual property of UNM HSC | 173HSC         | Vice Chancellor for Research, HSC  | Only for such intellectual property that has been assigned back to UNM HSC and/or UNM HSC inventors by STC.UNM                                   |
| 20             | LITIGATION  | HSC expert witness agreements with government agencies or restricted grants                             | 113HSC         | Executive Vice Dean, SOM (SOM faculty only); Dean, CON (CON faculty only); Dean, COP (COP faculty only); Dean, CPH (CPH faculty only); Senior Executive Officer for Finance & Administration, HSC<br>Chief Financial Services Officer, HSC |  |
| 21             | RESEARCH (IRB)                                      | Institutional Review Board Authorization Agreements   | NEW (11-28-16) | Vice Chancellor for Research, HSC  |  |
| 22             | SETTLEMENT  | Settlements in UNM Hospitals lien cases   | 043HSC         | Chief Executive Officer, UNM Hospitals   | OUC review and approval as to form required  |

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| 23             | SOFTWARE                                       | Software license and services agreements  | 178HSC         | Chief Budget and Facilities Officer, HSC; Senior Executive Officer for Finance & Administration, HSC  | OUC review and approval as to form   |
| 24             | SPONSORED PROJECTS                             | HSC clinical trial agreements, research agreements, contracts funded with sponsored awards, and professional services agreements per process in UNM Financial Services Division | 157HSC         | Associate Director, Sponsored Projects, Pre-Award Services HSC; Senior Executive Officer for Finance & Administration, HSC; Chief Budget and Facilities Officer, HSC; Chief Financial Services Officer, HSC | OUC review and approval as to form of any non-standard agreements                                  |
| 25             | SPONSORED PROJECTS (SUBAWARDS)                 | HSC subaward agreements   | 165HSC         | Associate Director, Sponsored Projects, Pre-Award Services HSC; Senior Executive Officer for Finance & Administration, HSC; Chief Budget and Facilities Officer, HSC; Chief Financial Services Officer, HSC | OUC review and approval as to form of any non-standard agreements                                  |
| 26             | SPONSORED PROJECTS (PROPOSALS)                 | HSC proposals for sponsored projects  | 167HSC         | Manager, Sponsored Projects; Sponsored Projects Officer; Senior Sponsored Projects Officer; Associate Director, Sponsored Projects HSC Pre-Award  |  |
| 27             | SPONSORED PROJECTS (FINANCIAL REPORTS/BILLING) | Invoices and financial reports for contracts and grants funded with sponsored awards  | 179HSC         | HSC Chief Financial Services Officer; Accountant, HSC Financial Services  |  |
| 28             | STUDENT (EMPLOYMENT AND TRAINING)              | HSC student employment contracts and training affiliation agreements  | 170HSC         | Executive Dean, SOM; Dir. of Finance, SOM; Administrator, SOM; Dean, COP; Dean, CON; Dean, CPH; Director, HSLIC; Associate VP for Administration, HSC   | Dual signatures required for those that are CON Affiliation Agreements with students going to UNMH |

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|         |                             |  |                | Administration;<br>Sr. Assoc. Dean for Education (SOM);<br>CEO, UNM Hospitals (incoming students only)  |  |
| 29      | STUDENT (EDUCATION)         | Contracts for the acquisition, management, transfer, transportation, and disposition of human anatomical specimens, which support the appropriate educational and research use of anatomical materials | NEW (11-28-16) | Associate Dean, Undergraduate Medical Education; Executive Vice Dean, SOM   |  |
| 29.1    | STUDENT (CLINICAL TRAINING) | Contracts for clinical training and affiliation agreements involving HSC students, excluding purchase agreements.  | NEW (7-19-17)  | Dean, SOM; Finance Director, SOM; Sr Assoc Dean for Education, SOM (SOM contracts only); Dean, College of Nursing (CON contracts only); Dean, College of Pharmacy (COP contracts only); Dean, College of Population Health (COPH Only); Vice President for Research, HSC (research-related contracts only); Chief Executive Officer, UNM Hospitals (UNM Hospitals contracts only) | Use of OUC pre-approved form, or, in the case of the School of Medicine, use of the LCME model form Affiliation Agreement, or if carrying from OUC pre-approved form or adding provisions to the LCME model form, then signed approval as to form by OUC attorney. |
| 30      | STUDENTS (EMERGENCY LOANS)  | Contracts for emergency loans to HSC students (including those signed only by student or only by UNM)  | 110HSC         | Executive Vice Dean, SOM (SOM students only); Senior Associate Dean for Education, SOM (SOM students  |  |

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|                |                         |                            |                | only); Dean, CON (CON students only); Dean, COP (COP students only); Dean, CPH (CPH students only) |                    |

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| <b>31</b>      | DEBT FINANCING                      | Contracts for debt financing (including all certificates, mortgages, security agreements, instruments, indentures and related agreements, deposit account control agreements, inter-creditor agreements, and all documents related thereto) | 134HSC         | Chief Executive Officer, UNM Hospitals;<br>Chief Financial Officer, UNM Hospitals | OUC review and approval as to form required; contracts subject to approval by the Board of Regents, Higher Education Department, and State Board of-Finance |
| <b>32</b>      | INTELLECTUAL PROPERTY (ASSIGNMENTS) | Assignments of University- owned intellectual property created by HSC employees to STC.UNM, and assignments by STC.UNM of University-owned intellectual property created by HSC employees to UNM, then if permitted, to the inventors       | 177BF          | Chancellor for Health Sciences;<br>Vice Chancellor for Research, HSC              | OUC review and approval as to form of any non-standard agreements   |